

## Appendix A - Supported Functions

### 1. SARM Public Functions

#### *createSARMContext*

- Called by: IKE

- 5 • Inputs: contextType (sender or receiver)
- Output: supportedGearSuite, contextHandle
- Description: This function will be called by the IKE module and will establish either a sender or receiver SARM context for a given connection. Calling this function will either spawn a new SARM thread or create a logical context within the ACSA Controller thread. During creation, the SARM will call a PF\_KEY function to retrieve the supported ACSA gears. Next, the SARM will query and retrieve the security condition from the NSSRM to determine if any gears should not be returned to IKE based on the currently defined security policy. Finally, the SARM will return the currently supported ACSA gear information to IKE to be used in ACSA gear negotiation. The thread responsible for this SARM context will wait for a setGearSuite command before performing any further actions.

#### *deleteSARMContext*

- Called by: IKE

- Inputs: contextHandle
- 20 • Output: none
- Description: This function will be called by the IKE module whenever a Delete Payload message is received or any other indication than an SA has expired. This function will release the contextHandle.

#### *receiveInboundControlMessage*

- Called by: IKE

- Inputs: inboundControlMessage, contextHandle
- Output: none
- Description: Whenever the IKE module receives an ACSA control message, it will pass the control message to the appropriate SARM sender context. The SARM context will call determineSenderGear based on the receiver's authentication error information or recommended gear information.

#### *setGearSuite*

- Called by: IKE

- Inputs: gearSuiteInfo, contextHandle
- Output: none
- Description: Upon the completion of ACSA gear suite negotiation, whether from an IKE initiator or IKE responder perspective, the IKE module will call this function to establish the set of gears which the SARM may select (sender) or recommend (receiver). This function will cause

the SARM to request resources from the NSSRM and then determine the appropriate gear to select or recommend from among the gear suite. If this is a sender context, the SARM will call PF\_KEY and set the connection's gear. If this is a receiver context, the SARM will call PF\_KEY to set the partial receiver verification values for each gear of the gear suite.

*setResourceAllocation*

- Called by: NSSRM
- Inputs: resourceAllocation, contextHandle
- Output: none
- Description: Periodically or when a dramatic resource change occurs, the NSSRM will notify the SARM of a new resource allocation. Based on this new resource allocation, each SARM context will re-determine the selected or recommended gear.

*setSecurityCondition*

- Called by: NSSRM or network application
- Inputs: securityCondition, contextHandle
- Output: none
- Description: Whenever a change in security policy or a security condition occurs, the NSSRM or network application will notify the SARM. Based on the revised security condition, each SARM context will re-determine the selected or recommended gear.

5.1.1.1.2 SARM Private Functions

2. SARM Private Functions

*createOutboundControlMessage*

- When executed: As a result of a large disparity between the receiver's desired gear and the current gear detected when executing the determinePartialReceiverVerification function.
- Description: This function will construct an appropriate control message based on the current available resources and the security condition. Possible messages include: ACSA-CHANGE-TO-BASE-GEAR-REQUESTED, ACSA-CHANGE-TO-MORE-SECURE-GEAR-REQUESTED, ACSA-CHANGE-TO-FASTER-GEAR-REQUESTED, ACSA-CHANGE-TO-NON-PMAC-GEAR-REQUESTED.

*determinePartialReceiverVerification*

- When executed: As a result of a SetGearSuite call, whenever the NSSRM updates the resource allocation, whenever the NSSRM updates the security condition, and periodically upon expiration of a timer.
- Description: Upon any of the conditions described above, the SARM will recalculate the appropriate partial verification for the receiver context based on available resources and the security condition. Upon determining the partial verification, the SARM will call the setPartialVerification function within the PF\_KEY module. At this time the SARM will determine its desired gear. The desired gear is the receiver's best compromise of security and

resources. If there is a great disparity between the receiver's desired gear and the current gear, the SARM may elect to call createOutboundControlMessage to notify the sender of the disparity.

*determineSenderGear*

- 5 • When executed: As a result of a SetGearSuite call, whenever an ACSA control message is received, whenever the NSSRM updates the resource allocation, whenever the NSSRM updates the security condition, and periodically upon expiration of a timer.
- Description: Upon any of the conditions described above, the SARM will recalculate the appropriate gear for the sender context based on available resources and the security condition.
- 10 Upon determining the appropriate gear, the SARM will call the setSenderGear function within the PF\_KEY module.

*handleAuthError*

- When executed: Whenever the main thread detects that PF\_KEY has written data to the authentication error socket.
- Description: Whenever the number of authentication errors for a connection exceeds the authentication error threshold, the PF\_KEY module will write the authentication error information into the authentication error socket. After reading the authentication error information, the SARM will then determine whether to notify the sender of a new recommended gear and will recalculate the receive partial verification values and notify the PF\_KEY module of any changes.

2. NSSRM public functions

*querySecurityCondition*

- Called by: SARM
- Inputs: contextHandle
- Output: securityCondition
- Description: Upon creation, a SARM context will request the current security condition.

*requestResources*

- Called by: SARM
- Inputs: contextHandle, gearSuiteInfo
- Output: resourceAllocationValue
- 35 • Description: Due to various events, the SARM will request resources to support authentication security of a connection it is managing. The NSSRM will allocate resources to the requesting SARM context based on its overall allocation from the HRM and the resource needs of any other SARM contexts.

*setResourceAllocation*

- 40 • Called by: HRM
- Inputs: resourceAllocation

- Output: none
- Description: Periodically or when a dramatic resource change occurs, the HRM will notify the NSSRM of a new resource allocation. Based on this new resource allocation, the NSSRM will re-determine the resource allocation of all SARM contexts by invoking the recalculateResourceAllocation function.

#### *setSecurityCondition*

- Called by: HSM
- Inputs: securityCondition
- Output: none
- Description: Whenever a change in security policy or a security condition occurs, the HSM will notify the NSSRM. Based on the revised security condition, the NSSRM may notify each SARM context which will in turn re-determine the selected or recommended gear.

#### 4. NSSRM private functions

##### *determineResourceAllocation*

- When executed: As a result of requestResources, setResourceAllocation or setSecurityCondition calls, and periodically upon expiration of a timer.
- Description: Upon any of the conditions described above, the NSSRM will recalculate the appropriate gear for the sender context based on available resources and the security condition. Upon determining the appropriate gear, the SARM will call the setSenderGear function within the PF\_KEY module.

#### 5. PF\_KEY public functions

##### *setPartialReceiverVerification*

- Called by: SARM
- Inputs: gearReceiverVerificationValueStructure, contextHandle
- Output: none
- Description: Based on a number of conditions, a receiver SARM will call PF\_KEY to set the partial verification values for all the gears of a gear suite corresponding to a given connection.

##### *setSenderGear*

- Called by: SARM
- Inputs: gearInfo, contextHandle
- Output: none
- Description: Based on a number of conditions, a sender SARM will call PF\_KEY to set the gear that IPsec should apply when generating the sender authentication tag.